

Please type a plus sign (+) inside this box →

+

PTO/SB/08A (08-00)

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

| | | | | | | |
|---|--|----|----------------------|------------------------|---|-------------|
| Substitute for Form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | | Application Number | | To be assigned (Division of USSN 08/902,453, filed July 29, 1997) | |
| | | | Filing Date | | Concurrently Herewith | |
| | | | First Named Inventor | | B. ALBECK | |
| | | | Group Art Unit | | 3729 | |
| | | | Examiner Name | | A. DEXTER TUGBANG | |
| Sheet | | of | | Attorney Docket Number | | 970266D2/TL |

11002 U.S. PTO
10/034578
12/28/97

U.S. PATENT DOCUMENTS

| Exam. Initials | Cite No. | Document Number | Kind Code ² | Name of Patentee or Applicant | Publication Date MM-DD-YYYY | Relevant Portion |
|-------------------|-------------|-----------------|---------------------------|----------------------------------|--------------------------------|---------------------|
| TL | | 3,930,524 | | TARBOX | 01/06/1976 | |
| | | 4,219,913 | | JOHNSON | 09/02/1980 | |
| | | 4,271,573 | | VON ROESGEN | 06/09/1981 | |
| | | 4,461,061 | | ROCK | 07/24/1984 | |
| | | 4,679,881 | | GALVIN | 07/14/1987 | |
| | | 4,703,543 | | ACETI et al | 11/03/1987 | |
| | | 4,781,227 | | CROSS | 11/01/1988 | |
| | | 5,442,848 | | KOLLER et al | 08/22/1995 | |
| | | 5,459,924 | | OHSUMI et al | 10/24/1995 | |
| | | 5,515,606 | | ALBECK et al | 05/14/1996 | |

FOREIGN PATENT DOCUMENTS

| Exam. Initials | Cite No. | Office | Document Number ¹ | Kind Code ³ | Name of Patentee or Applicant | Publication Date MM-DD-YYYY | Relevant Portion | T ⁴ |
|-------------------|-------------|--------|--------------------------------|---------------------------|----------------------------------|--------------------------------|---------------------|----------------|
| TL | | DE | 1 290 215 | | | 03/1969 | | |
| | | DE | 32 36 868 | A1 | | 05/1983 | | * |
| | | DE | 43 12 777 | A1 | | 10/1994 | | ** |
| | | EPO | 0 573 791 | A1 | | 12/15/1993 | | |
| | | DE | 42 18 741 | A1 | | 12/1993 | | |
| | | X* | English lang. abstract only | | ** USP 5,442,848 | | | |

| | | | |
|-----------------------|-------|--------------------|---------|
| Examiner Signature | T. LE | Date Considered | 5/30/05 |
|-----------------------|-------|--------------------|---------|

¹ EXAMINER: Initial if reference considered, whether or not cited in in conformity with MPEP 609. ² Use this through citation if not in conformity and not considered. Include copy of this form with next communication to applicant.

³ Unique citation designation number. ⁴ See kinds of U.S. Patent Documents. ⁵ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁶ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁷ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁸ Place a check here if English translation is attached.

DATE MAILED: December 28, 2001

SHEET 1 of 1

| | | | | | | |
|---|----------------------------|----------------------------------|---------|--------------------------|-----|--|
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT PTO - 1449 | | ATTORNEY DOCKET NO. 970266/TL | | SERIAL NO. 08/902,453 | | |
| | | APPLICANT: Bernhard ALBECK et al | | | | |
| | | FILED: July 29, 1997 | | ART UNIT: <u>3729</u> | | |
| U.S. PATENT DOCUMENTS | | | | | | |
| | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB | |
| | | | | | | |
| FOREIGN PATENT DOCUMENTS | | | | | | |
| | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB | TRANSLATION |
| | | | | | | YES |
| US 6/21/06 | TL 43 12 777 A1 | 10/1994 | GERMANY | --- | --- | X-USP 5,442,484 |
| | | | | | | RECEIVED MAY - 2 2000 8700 MAIL ROOM |
| OTHER DOCUMENTS (AUTHOR, TITLE, DATE, PAGES, PUBLICATION, ETC.) | | | | | | |
| | | | | | | |
| EXAMINER: <u>A. D. T. T. T.</u> | | DATE CONSIDERED: <u>7/17/00</u> | | | | |
| T. L. E. | | 5/30/05 | | | | |

April 25, 2000

| | | | | | | | |
|---|----|--|---------|--|-------|-----|-----------------------|
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT PTO - 1449 | | ATTORNEY DOCKET NO. 970266-shf | | SERIAL NUMBER | | | |
| | | APPLICANT: Bernhard ALBECK et al | | | | | |
| | | FILED: Concurrently | | ART UNIT: | | | |
| U. S. PATENT DOCUMENTS | | | | | | | |
| | | DOCUMENT NUMBER | DATE | NAME | Class | Sub | |
| X.G.V | TL | 5,442,848 | 8/1995 | KOLLER et al | - | - | |
| X.G.V | TL | 5,515,606 | 5/1996 | ALBECK et al | - | - | |
| X.G.V | TL | 3,930,524 | 1/1976 | TARBOX | - | - | |
| FOREIGN PATENT DOCUMENTS | | | | | | | |
| | | DOCUMENT NUMBER | DATE | COUNTRY | Class | Sub | Translation YES NO |
| X.G.V | TL | 42 18 741 A1 | 12/1993 | Germany | - | - | |
| X.G.V | TL | 32 36 868 A1 | 5/1983 | Germany | - | - | X- Abstr. |
| X.G.V | TL | 1 290 215 | 3/1969 | Germany | - | - | |
| | TL | 43 12 777 A1 | 10/1994 | Germany | | | X-USP 5,442,848 |
| OTHER DOCUMENTS (Author, Title, Date, Pages, Publication, etc.) | | | | | | | |
| | | | | | | | |
| EXAMINER: <i>Thi Skene</i> T. LE | | | | DATE CONSIDERED: 8/16/99 5/30/05 | | | |

July 29, 1997